

IFW

Attorney's Docket No. 080398.P563

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jeongnam Yeon

Application No.: 10/674,982

Filed: September 29, 2003

For: A Method for Grouping Short Windows
in Audio Encoding

Examiner: Thomas E. Shortledge

Art Unit: 2654

Confirmation No.: 4997

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Some of the art cited herein was cited in an Office Action for co-pending application serial no. 10/674,945, which is assigned to the same assignee as the present application, and which the Examiner may wish to review.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

04/28/2006 YPOLITE1 00000100 10674982

01 FC:1806

LJV/cak (10/27/04) 180.00 0P

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

 X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e) or

 X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Apr 24, 2006

Sheryl Sue Holloway
Reg. No. 37,850

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 4-24-06.
(Date of Deposit)

Carla Anysia Nascimento
(Typed or printed name of person mailing correspondence)

[Signature]
(Signature of person mailing correspondence)

4-24-06
(Date)

Application Number	10/674,982
Filing Date	September 29, 2003
First Named Inventor:	Jeongnam Youn
Art Unit	2654
Examiner Name	Thomas E. Shortledge
Attorney Docket Number	080398.P563

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
Examiner Signature				Date Considered		

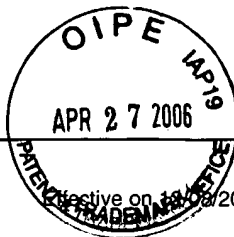
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/674,982
				Filing Date	September 29, 2003
				First Named Inventor:	Jeongnam Youn
				Art Unit	2654
				Examiner Name	Thomas E. Shortledge
Sheet	2	of	2	Attorney Docket Number	080398.P563
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		MICHAEL J. SMITHERS, MATT C. FELLERS, "Increased efficiency MPEG-2 AAC Encoding", Audio Engineering Society, Convention Paper 5490, Presented at 111 th Convention, September 21-24, 2001, pgs.1-7, New York, New York, USA.			
Examiner Signature				Date Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**FEE TRANSMITTAL FOR FY 2005**

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 180.00**Complete if Known:**

Application No. 10/674,982
Filing Date September 29, 2003
First Named Inventor Jeongnam Yeon
Examiner Name Thomas E. Shortledge
Art Unit 2654
Attorney Docket No. 080398.P563

 Applicant claims small entity status. See 37 CFR 1.27.**METHOD OF PAYMENT** (check all that apply) Check Credit Card Money Order None Other (please identify) X Deposit AccountDeposit Account Number : 02-2666Deposit Account Name: X The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. X Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee X Credit any overpayments. X Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form.
Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>		<u>Fees Paid (\$)</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>			
1011	300	2011	150	Utility application filing fee	} 1,000/500	<u> </u>
1111	500	2111	250	Utility search fee		<u> </u>
1311	200	2311	100	Utility examination fee		<u> </u>
1012	200	2012	100	Design application filing fee	} 430/215	<u> </u>
1112	100	2112	50	Design search fee		<u> </u>
1312	130	2312	65	Design examination fee		<u> </u>
1013	200	2013	100	Plant filing fee	} 660/330	<u> </u>
1113	300	2113	150	Plant search fee		<u> </u>
1313	160	2313	80	Plant examination fee		<u> </u>
1004	300	2004	150	Reissue filing fee	} 1,400/700	<u> </u>
1114	500	2114	250	Reissue search fee		<u> </u>
1314	600	2314	300	Reissue examination fee		<u> </u>
1005	200	2005	100	Provisional application filing fee		<u> </u>
SUBTOTAL (1)						\$ <u>0.00</u>

2. EXCESS CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fees Paid (\$)</u>
Total Claims _____ - 20 or HP = _____		X <u>\$50.00</u>	= _____
HP = highest number of total claims paid for, if greater than 20			
Independent Claims _____ - 3 or HP = _____		X <u>\$200.00</u>	= _____
HP = highest number of independent claims paid for, if greater than 3			
Multiple Dependent Claims _____			= _____

<u>Large Entity</u>		<u>Small Entity</u>		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$ 0.00**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each add'l 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	- 100 = _____	/ 50 = _____ (round up to whole number)	X <u>\$250.00</u>	_____

<u>Large Entity</u>		<u>Small Entity</u>		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):</u>
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ 0.00

FEE CALCULATION (continued)**4. OTHER FEE(S)**

				<u>Fees Paid (\$)</u>										
Non-English Specification, \$130 fee (no small entity discount)														
<table border="0"> <thead> <tr> <th colspan="2"><u>Large Entity</u></th> <th colspan="2"><u>Small Entity</u></th> <th rowspan="2"><u>Fee Description</u></th> <th rowspan="2"></th> </tr> <tr> <th><u>Fee Code</u></th> <th><u>Fee (\$)</u></th> <th><u>Fee Code</u></th> <th><u>Fee (\$)</u></th> </tr> </thead> </table>				<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>		<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	
<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>										
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>											
1051	130	2051	65	Surcharge - late filing fee or oath										
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet										
1053	130	1053	130	Non-English specification										
1812	2,520	1812	2,520	For filing a request for ex parte reexamination										
1813	8,800	1813	8,800	Request for inter parties reexamination										
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action										
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action										
1251	120	2251	60	Extension for reply within first month										
1252	450	2252	225	Extension for reply within second month										
1253	1,020	2253	510	Extension for reply within third month										
1254	1,590	2254	795	Extension for reply within fourth month										
1255	2,160	2255	1,080	Extension for reply within fifth month										
1401	500	2401	250	Notice of Appeal										
1402	500	2402	250	Filing a brief in support of an appeal										
1403	1,000	2403	500	Request for oral hearing										
1451	1,510	1451	1,510	Petition to institute a public use proceeding										
1452	500	2452	250	Petition to revive - unavoidable										
1453	1,500	2453	750	Petition to revive - unintentional										
1501	1,400	2501	700	Utility issue fee (or reissue)										
1502	800	2502	400	Design issue fee										
1503	1100	2503	550	Plant issue fee										
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)										
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)										
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)										
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)										
1806	180	1806	180	Submission of Information Disclosure Stmt	\$180.00									
8021	40	8021	40	Recording each patent assignment per property (times number of properties)										
1809	790	2809	395	For filing a submission after final rejection (see 37 CFR 1.129(a))										
1814	130	2814	65	Statutory Disclaimer										
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))										
1801	790	2801	395	Request for Continued Examination (RCE)										
1802	900	1802	900	Request for expedited examination of a design application										
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.										
1505	300	1505	300	Publication fee for republication										
1803	130	1803	130	Request for voluntary publication or republication										
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)										
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority										
Other fee (specify) _____														
Other fee (specify) _____														
SUBTOTAL (4)				\$180.00										

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Sheryl Sue Holloway

Signature: _____

Date: April 24, 2006Reg. Number: 37,850Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450